

Atty. Docket No. P67083US0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Marcus HARTMANN et al.

Serial No.: 09/914,870

Filed: September 4, 2001

For: β -HEXOSAMINIDASE AND A DNA SEQUENCE CODING IT OBTAINED FROM
CILIATES AND USE THEREOF

TRANSMITTAL

Commissioner of Patents
Box PCT
Washington, D.C. 20231

Sir:

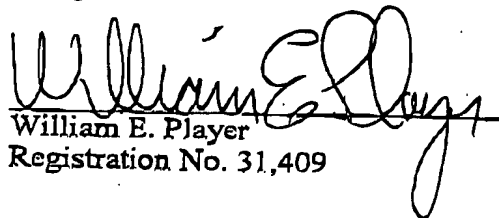
Transmitted herewith are:

- Copy of Notification of Missing Requirements mailed October 26, 2001.
- Declaration of inventors under 37 CFR 1.497.
- Response to Notice to Comply with Sequence Rules, including Sequence Listing, Amendment, and computer diskette.
- Petition for Extension of Time.
- Statement Under 37 CFR 1.497(d)(1).
- Consent of Assignee Under 37 CFR 1.497(d)(3).
- Check in the amount of \$185 for: extension of time; processing fee as required under 37 CFR 1.497(d)(2).

If a Petition for Extension of Time is necessary and the Petition and/or the check is not enclosed, this will act as the Petition and applicant herewith petitions the Commissioner to extend the time for response and charge any fees necessary under 37 CFR 1.17 (a)-(d) to Deposit Account No. 06-1358. The Commissioner is also authorized to charge payment of any other additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1358.

JACOBSON HOLMAN PLLC
400 Seventh Street, N. W.
Washington, D.C. 20004-2201
Date: January 28, 2002
WEP:rdt
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By:


William E. Player
Registration No. 31,409

TC 11-1-01

chris

PG 7083 ASD

Page 1 of 2

☒ Commissioner For Patents, Box PCT, United States Patent and Trademark Office, Washington, D.C. 20231, www.uspto.gov

U.S. APPLICATION NUMBER NO. 09/914,870	FIRST NAMED APPLICANT Marcus HARTMANN	ATTY. DOCKET NO. P67083USO
INTERNATIONAL APPLICATION NO. PCT/EP00/01853		
LA. FILING DATE 03/03/2000		PRIORITY DATE 03/04/1999

00138
JACOBSON HOLMAN PLLC
400 SEVENTH STREET N.W.
SUITE 600
WASHINGTON, DC 20004

COPY

JACOBSON HOLMAN PLLC

Response Due On Or Before

12/26/01

Month Day Year

CONFIRMATION NO. 4106

371 FORMALITIES LETTER

000000006962386

Date Mailed: 10/26/2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
 - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
 - APPLICANT MUST PROVIDE:
 - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
 - A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).

- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - For Rules Interpretation, call (703) 308-4216
 - To Purchase PatentIn Software, call (703) 306-2600
 - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

FRANCINE YOUNG

Telephone: (703) 305-3662

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO. 09/914,870	INTERNATIONAL APPLICATION NO. PCT/EP00/01853	ATTY. DOCKET NO. PG7083US0
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Atty. Docket No. P67083US0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Marcus HARTMANN et al.

Serial No.: 09/914,870

Filed: September 4, 2001


For: β -HEXOSAMINIDASE AND A DNA SEQUENCE CODING IT OBTAINED FROM
CILIATES AND USE THEREOF

STATEMENT UNDER 37 CFR 1.497(d)(1)

Commissioner of Patents
Washington, D.C. 20231

Sir:

Peter VOHLE, a citizen of Germany, states that any error in inventorship in international application PCT/EP00/01853, filed March 3, 2000, occurred without deceptive intention on his part.

10.01.2002
Date
Peter VOHLE

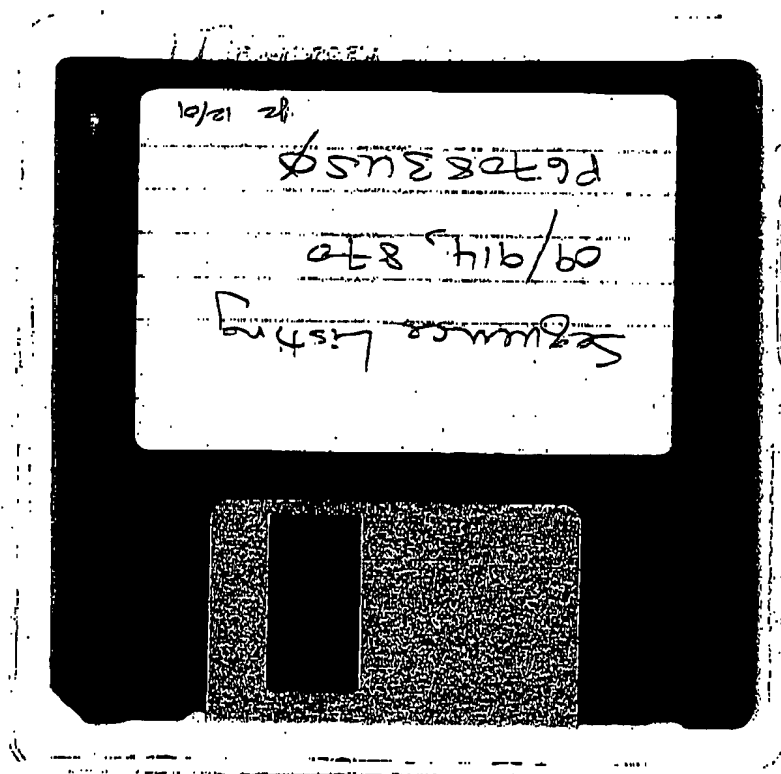
Inventor: Marcus HARTMANN et al.

Serial No.: 09/914,870

Filing Date: September 4, 2001

Atty Dkt. No.: P67083US0

SEQUENCE LISTING



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JH Ref PC7083050 Today's Date 1-28-02
Serial/Patent No. 02/914 870
Inventor, Patentee, Assignee MARCUS HUBMAN et al.
Filing Date/Patent Date 9-4-01

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- ☐ _____ pp. Specification & CLAIMS ☒ Response to Office Action
☐ Combined Declaration, Power of Attorney ☐ Disclosure Statement-IDS
☐ Preliminary Amendment ☐ Copies of References
☐ Rule 53 (b) Application ☐ Request for Refund
☐ Rule 53 (d) /RCE Application ☐ Request for Corrected Filing Receipt
☐ Claim to Priority and Certified Copy ☒ Petition for XOT
☐ Substitute ☐ Subsequent Declaration ☐ Notice of Appeal/Brief
☐ Maintenance Fee ☒ Sequence Listing
☐ Drawings _____ Sheets _____ Formal _____ Informal ☐ Issue Fee Transmittal
☐ Assignment/Change of Name ☐ Other Not - of Missing Parts
☐ Small Entity Declaration Computer Diskette

☐ Check for \$ 185.00
JH 11/01 DUE DATE 1-28-02
Person filing June Jones

JACOBSON HOLMAN PLLC
400 SEVENTH STREET, N.W.
WASHINGTON, D.C. 20004

DATE	IN PAYMENT FOR	AMOUNT										
01-28-02	<table><tr><td>FILING FEE</td><td>185.00</td></tr><tr><td>ISSUE FEE</td><td></td></tr><tr><td>ASSIGNMENT FEE</td><td></td></tr><tr><td>APPEAL FEE</td><td></td></tr><tr><td>MISCELLANEOUS</td><td></td></tr></table>	FILING FEE	185.00	ISSUE FEE		ASSIGNMENT FEE		APPEAL FEE		MISCELLANEOUS		185.00
FILING FEE	185.00											
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APPEAL FEE												
MISCELLANEOUS												
Applicant: VON KREISLER, SELTING, WERNER												
Ser. No. _____ MARK _____												
Our File No. 10496 WRITER WEP												
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P.O. 56567

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703 308 6459

*** RX REPORT ***

RECEPTION OK

TX/RX NO	9808
CONNECTION TEL	202 393 5350
CONNECTION ID	
START TIME	12/12(TH)15:20
USAGE TIME	08'13"
PGS.	24
RESULT	OK